

Spectral theory on twisted connected sums  
[based on arxiv 2301.03513]

1) Motivation

M-theory: quantum gravity (11D)

Low-energy EFT  $\rightarrow$  bosonic fields:  $g$  metric,  $C$  3-form.

Possible vacua:  $(\mathbb{R}^3 \times M^7, (M, \langle \varphi \rangle, G_2\text{-manifold}, \langle C \rangle \in \mathcal{H}^3(M))$

Principle  $(M^7, \langle \varphi \rangle, \langle C \rangle)$  determine low energy physics in 4D.

e.g. (i)

$b^k(M)$	# massless fields
eigenvalues on $\Delta$ on (co-closed) $q$ -forms	masses <sup>2</sup> of the fields

Deformations of  $\langle \varphi \rangle \leftrightarrow$  varying the continuous parameters in EFT

$\hookrightarrow \mathcal{M}_{G_2}$   $G_2$ -moduli space  $\rightarrow T_{\varphi} \mathcal{M} = \mathcal{H}^3(M), |\delta \varphi|^2 = \frac{\|\delta \varphi\|_L^2}{\text{Vol}(\varphi)}$

Swampland distance conjecture  $\mathcal{M}$  moduli space,  $p \in \mathcal{M}$ .

If  $q \in \mathcal{M}$  is moving towards an infinite-distance limit, the EFT at  $q$  has an infinite tower of states becoming light.

$m(q) = e^{-\alpha d(p,q)} m(p), \alpha > 0, d(p,q) \rightarrow \infty.$

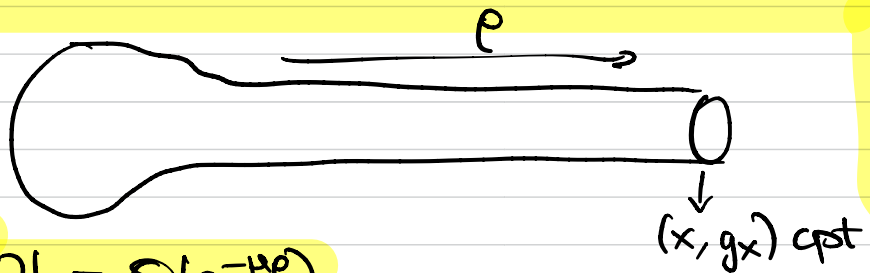
[Vafa '05, Ooguri-Vafa '06]

Goal Study this for  $G_2$ -manifolds  $\rightarrow$  metric on  $\mathcal{M}$   
 $\rightarrow |\lambda_n(\varphi)|$

2) Twisted connected sums [Kovalev '03, CHNP '13, Nordström '18 (ates)]

Building blocks

EAC mfd  $(z, g)$



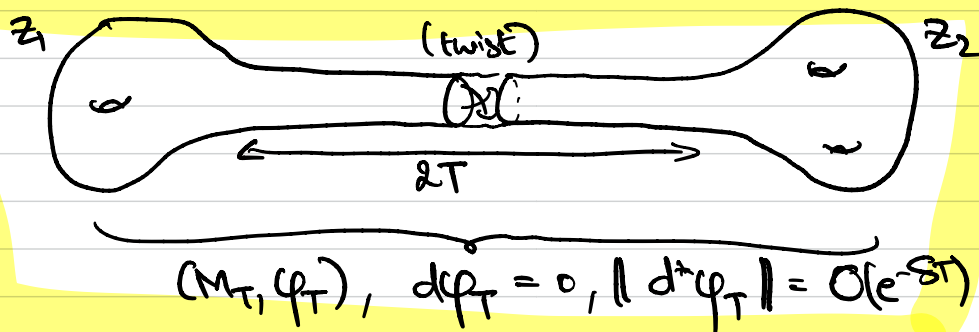
$|g - (dp^2 + g_x)| = \mathcal{O}(e^{-pe})$   $p > 0$   
 $\oplus$  similar estimates for derivatives

EAC  $G_2$   $(x, \omega_x, \Omega_x)$  cpt  $CY_3$ .  $|\varphi - (dp \cdot \omega_x + \text{Re} \Omega_x)| = \mathcal{O}(e^{-pe})$   
 $\oplus$  derivatives

eg.  $CY_3 \times S^1(\sqrt{p}), X = T^2 \times K3(\sqrt{p})$  [CHNP '15]

Construction Start with a matching pair  $(z_1, \varphi_1), (z_2, \varphi_2)$

gluing  $T \gg 1$



perturbation  $T \gg 1 \rightarrow \exists! \tilde{\varphi}_T$  torsion-free,  $[\tilde{\varphi}_T] = [\varphi_T], \|\tilde{\varphi}_T - \varphi_T\|_{C^0} = O(e^{-\delta T})$   
 [Joyce]

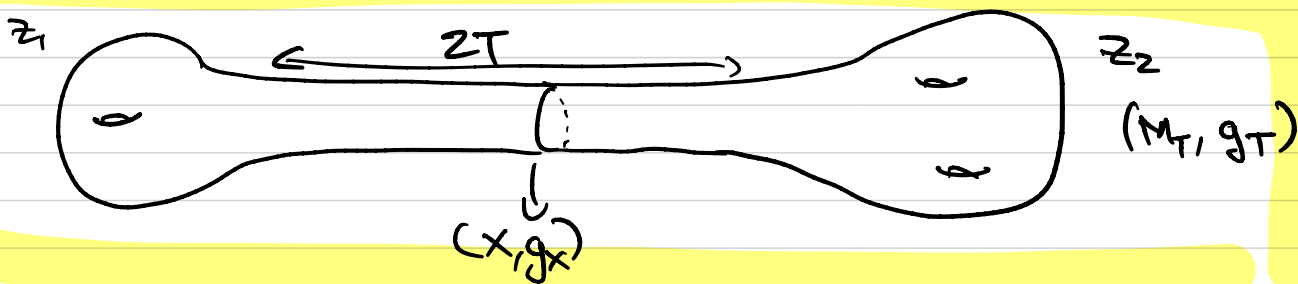
Fact  $C \log(T - T_0) \leq d(\tilde{\varphi}_T, \tilde{\varphi}_{T_0}) \leq C'(T - T_0)$

Q towers of light states  $\begin{cases} \rightarrow \text{decay of low } \lambda_n(T)? \\ \rightarrow \text{density?} \\ \rightarrow \text{interpretation/orig'n?} \end{cases}$

Q control of derivatives of  $\tilde{\varphi}_T - \varphi_T$ ? Need improved estimates.

Prop  $\forall k \in \mathbb{N}, \|\tilde{\varphi}_T - \varphi_T\|_{C^k} = O(e^{-\delta T})$  as  $T \rightarrow \infty$ .

Consequences • can work with  $\varphi_T$   
 •  $G_2$  plays no role  $\rightarrow$  tes of Riemannian manifolds



Decay of low eigenvalues  $\lambda_n^{(q)}(T)$  n-th eigenvalue of  $\Delta \sim \Omega^q(M_T)$

Prop (1.23) •  $b^{q-1}(x) + b^q(x) = 0 \Rightarrow \lambda_1^{(q)}(T) \geq C$  for some  $cst$   
 •  $b^{q-1}(x) + b^q(x) = 0 \Rightarrow \exists C, C_n$  st:

$$\frac{C}{T^2} \leq \lambda_1^{(q)}(T) \leq \dots \leq \lambda_n^{(q)}(T) \leq \frac{C_n}{T^2}$$

Density of low eigenvalues  $s > 0$ .

$$\Delta_{q,inf}(s) = \liminf_{T \rightarrow \infty} \# \{ \text{eigenvalues of } \Delta_T \sim \Omega^q(M_T) \text{ in } (0, \frac{\pi^2 s}{T^2}] \}$$

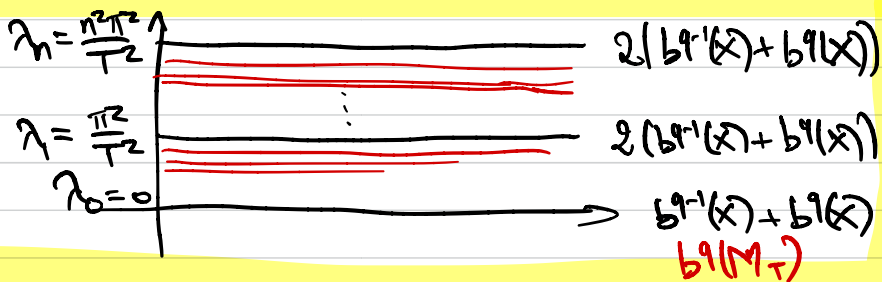
$$\Delta_{q,sup}(s) = \limsup_{T \rightarrow \infty} \# \text{ ———— , } \Delta_{q,inf}^*(s), \Delta_{q,sup}^*(s).$$

Thm (1'23)

- If  $b^g(x) + b^g(x) > 0$  then  $\Delta_{q, \text{sup}}(s) = \Delta_{q, \text{inf}}(s) + o(1) = 2(b^g(x) + b^g(x))\sqrt{s} + o(1)$ .
- If  $b^g(x) \geq 0$  then  $\Delta_{q, \text{sup}} = \Delta_{q, \text{inf}}(s) + o(1) = 2b^g(x)\sqrt{s} + o(1)$ .

Interpretation

Density of low eigenvalues of Laplacian on  $S^1_{2T} \times X$



tes  $G_2$   $X = T^2 \times K^3$

physics limit  $T \rightarrow \infty$  of  $(M_T, \varphi_T) \rightsquigarrow$  physics on  $S^1_{2T} \times T \times K^3$  (duality with F+th...)

4) Idea of proof

- Want
- control on  $\|\tilde{\varphi}_T - \varphi_T\|$  ①
  - eigenvalue estimates on  $\Delta_T$  ②

① Find  $\tilde{\varphi}_T = \varphi_T + d\eta_T$ ,  $d^*\eta_T = 0$  such that  $d^*_{\tilde{\varphi}_T} \tilde{\varphi}_T = 0$ .

↳ Non-linear PDE: use Banach fixed-pt thm (in appropriate functional spaces).

- Need
- $d^*\varphi_T$  small  $\checkmark$
  - quadratic estimates on the non-linear part  $\checkmark$
  - "good" control on the linearisation  $\rightarrow$  here  $\Delta_T$

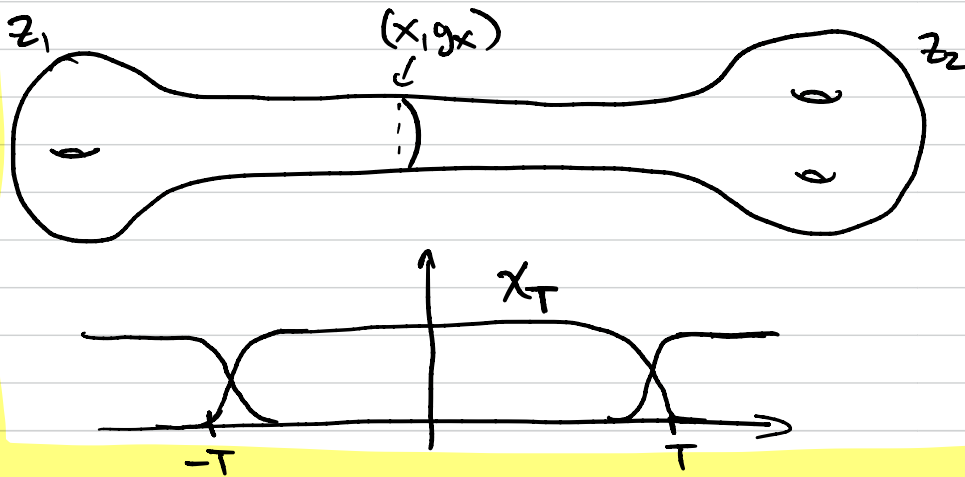
Elliptic regularity  $\eta \in \Omega^q(M) \cap \mathcal{H}^q(M)^\perp \Rightarrow \exists u \in \Omega^q(M) \cap \mathcal{H}^q(M)^\perp$ ,  $\Delta u = \eta$   $\oplus$  estimate  $\|u\|_{L^p_{k+2}} \leq C(T) \|\Delta_T u\|_{L^p_k}$

Q  $C(T)$ ?  $\sim$  control the low eigenvalues.

- Idea
- localise problems
  - construct/approximate  $\Delta_T^{-1}$

Ansatz [Karpuleas '90]

$\eta \in \Omega^q(M_T)$ , seek  $u$  st  $\Delta_T u = \eta$ .



①  $\eta_0 = \chi_T \eta \in \Omega^q(\mathbb{R} \times X)$  (supported in  $[-T, T] \times X$ ).

↳ solve  $(-\partial_t^2 + \Delta_x) u_0 = \eta_0$  first

②  $\eta - \Delta_T(\chi_T u_0) = \eta_1 + \eta_2$ ,  $\eta_i \in \Omega^q(Z_i)$  decays exponentially.

↳ solve  $\Delta u_i = \eta_i$ ,  $i=1,2$ . where  $u_i$  also decays exp.

If can do ① + ② → taking cutoffs, can solve  $\Delta u_i = \eta + \tilde{\eta}$   
 $\|\tilde{\eta}\| \leq C e^{-\delta T} \|\eta\|$ .

Good case  $b_1^{-1}(X) + b_1(X) = 0$  then  $-\partial_t^2 + \Delta_x: L^p_{k+2}(\mathbb{R}^P) \rightarrow L^p_k(\mathbb{R}^P)$   
 is invertible → ① has unique solution with estimates  
 [Karpuleas-Singer '00] ② can be solved if  $\eta \perp \mathcal{H}^q(M_T)$ .

Bad case  $b_1^{-1}(X) + b_1(X) \neq 0$

① can be solved via separation of variables but solution is non-unique:  $\Delta(u_0 + \xi) = \eta_0$   
 $\xi = t\alpha + \beta$ ,  $\alpha, \beta$  translation-invariant harmonic forms.

② Weighted spaces  $L^p_{k,s} = L^p_k(e^{s\phi} d\mu)$

[Lockhart-McOwen '85]

Thm  $0 < |s| \ll 1$  Then  $\Delta: L^p_{k+2s} \rightarrow L^p_{k,s} \triangleright$  Fredholm,  
 and its image is the orthogonal space of  $\ker \Delta \cap L^p_{-s}$ .

pb too many obstructions

↳ find best  $\xi$  so  $\xi = \xi(\eta, \tau)$  so as to annihilate as many obstructions as possible.